

## PROSPECTS OF SETI BY SMALL SIZE OPTICAL TELESCOPES

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**SUMMARY:** In the present manuscript we consider the possibility of conducting SETI with small-size (with diameters less than 1 m) optical telescopes. Calculations are performed for typical parameters of the mentioned type of telescopes. In particular, we show that the techno-signatures of Type-2.x and Type-3.x civilizations might be detected. It is demonstrated that it is possible to detect hot megastructures (up to 4000 K) built around Main Sequence stars and pulsars, as well as von Neumann extraterrestrial probes.

**Key words.** Extraterrestrial intelligence – Astrobiology – Telescopes

### 1. INTRODUCTION

In the present paper we consider the possibility of search for extraterrestrial intelligence (SETI) by small size (with diameters up to 1 m) optical telescopes and we discuss how prospective this project is. It is quite clear that SETI means the search for peculiarities in the detected emission and in the present paper we focus on the search for technosignatures of advanced alien civilizations. Today many relatively old observatories gradually become either passive or transform into museums. However, they can be used in the mentioned search, when large telescopes cannot spend much time on SETI projects.

In 1960 a very original idea of the search for technosignatures has been proposed by Freeman Dyson (Dyson 1960). The author has assumed that the alien technological society is advanced enough to consume the whole energy of their host star, i.e. be-

longing to Type-II civilization in Kardashev's classification. According to this ranking, Type-I society is consuming the power of solar radiation incident on Earth and Type-III civilization utilizes almost the total power of the host galaxy (Kardashev 1964), but since more appropriate designation of technological level is fractional (Ćirković 2015), henceforth we use Type-1.x; Type-2.x and Type-3.x. Dyson (1960) has suggested that to consume the whole emitted energy of the host star a civilization has to build a gigantic spherical megastructure - the Dyson sphere (DS) - around the host star in the habitable zone. As a result, this megastructure will inevitably emit in the infrared spectral band and thus it will be potentially detectable.

In the end of the last century and the beginning of the 21st century several attempts were performed to search for DSs (Jugaku and Nishimura 2004, Pagiannis 1985, Timofeev et al. 2000, Carrigan 2009) and despite the fact that some of the observational features were identified as potential candidates, it was emphasised that a further study is necessary. It is worth noting that silence of the universe (the Fermi paradox) means that the search for the extraterres-

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trial intelligence is not that trivial and, therefore, one has to examine as many channels as possible.

By [Osmanov \(2016, 2017\)](#) the possibility of building ring-like megastructures located in the habitable zone of pulsars was considered and the possibility of their detection in the infrared spectrum by modern facilities was studied. On the other hand, monitoring the sky only in the infrared spectrum significantly restricts the methods of search. To extend a spectral area of the search, [Osmanov and Berezhiani \(2018\)](#) considered the possibility of hot DSs (considering Graphen as an example) with temperatures up to 4000 K which can be visible in the optical spectral band. It has been shown that in case of hot megastructures, the amount of material necessary to build a DS will be much less than for the megastructures located in the habitable zone. Another interesting feature the observational signatures of megastructures will be characterised is the spectral variability. [Osmanov and Berezhiani \(2019\)](#) and [Osmanov \(2021\)](#) examined ring-like megastructures around stars and pulsars and it was found that the hot rings oscillate nearby the equilibrium positions leading (via the Doppler shift) to the spectral variability. The corresponding time-scales vary from several minutes (M-type stars) up to years (O-type stars). In the case of pulsars, the variability period is of the order of several days.

Another class of extraterrestrial engineering technosignatures of which we intend to consider in the context of their search by small size telescopes is the von Neumann self-reproducing probes. Recently extending an idea of von Neumann ([von Neumann 1966](#)), extraterrestrial self-reproducing probes have been considered ([Osmanov 2020b,a](#)) and it has been shown that by collecting material in the interstellar media for replication, the probes will be visible in a broad electromagnetic spectral band and as it will be shown, also in the optical spectra.

The aim of the present paper is to consider the possibility of detection of technosignatures produced by the megastructures and von Neumann probes by small size optical telescopes. And, as an example, the AbAO telescope AZT-14 with the aperture 48 cm ([Kumsiashvili and Kraicheva 1982](#)) is taken to show how useful smaller aperture telescopes are.

The paper is organized in the following way: in Section 2, we briefly outline the general properties of technosignatures (megastructures and von Neumann probes), discussing the possibility of their detection and, in Section 3, we summarise obtained results.

## 2. MAIN CONSIDERATION

In this section we intend to outline the results presented in ([Osmanov and Berezhiani 2019](#), [Osmanov 2020a,b](#), [2021](#)) to consider the observational features of technosignatures in the visible spectra and analyse the possibility of their detection by a typical small size telescope.

### 2.1. Hot megastructures

As it has been studied in detail by ([Wright 2020](#)) it is unrealistic to construct a monolithic spherical megastructure because no material can be stable against internal gravitational stresses, implying that DSs should be composed of concentric rings. Then, one can show that the radius of the ring is given by ([Osmanov and Berezhiani 2019](#), [Osmanov 2021](#))

$$R = \left( \frac{L}{8\pi\sigma T^4} \right)^{1/2} \simeq \\ \simeq 6.9 \times 10^{-3} \times \left( \frac{L}{L_{\odot}} \right)^{1/2} \times \left( \frac{4000\text{K}}{T} \right)^2 \text{ AU}, \quad (1)$$

where  $L$  is the bolometric luminosity of the star, normalized by the Solar luminosity,  $L_{\odot} \approx 3.83 \times 10^{33} \text{ erg s}^{-1}$ ,  $\sigma \approx 5.67 \times 10^{-5} \text{ erg}/(\text{cm}^2 \text{K}^4)$  denotes Stefan-Boltzmann's constant, and  $T$  is the temperature of the megastructure. From Eq. (1) it is clear that  $R$  is much less than the typical radii of the DS located in the habitable zone ( $\sim 1 \text{ AU}$ ). From Wien's law it follows that the spectral radiance peaks at the wavelength:

$$\lambda_{\text{peak}} = \frac{2898 \mu\text{m K}}{T} \simeq 725 \times \frac{4000\text{K}}{T} \text{ nm}, \quad (2)$$

which, according to Eq. (2), is of the order of 725 nm for  $T = 4000 \text{ K}$ . The angular resolution of AZT-14 (with a diameter  $D = 48 \text{ cm}$ ) for the mentioned maximum temperature,  $\varphi = 1.22 \lambda_{\text{peak}}/D \approx 1.8 \times 10^{-6} \text{ rad}$  is not enough to resolve the structure of the ring from cosmic distances.

One the other hand, one can straightforwardly check that if a maximum value of the magnitude detectable by the telescope is  $m$ , then the maximum distance where the megastructure with radius  $R$  is still visible for the corresponding facility is given by:

$$D_m \simeq R \times 10^{\frac{m}{5}} \times \sqrt{\frac{B_{\nu}(T)}{F_0}}, \quad (3)$$

where

$$B_{\nu} = \frac{2h\nu^3}{c^2} \frac{1}{e^{h\nu/(kT)} - 1}, \quad (4)$$

is the spectral radiance of the black body emission,  $h$  represents Planck's constant,  $\nu$  is the frequency,  $F_0$  is the spectral flux at  $m = 0$  for the given temperature,  $c$  is the speed of light, and  $k$  represents Boltzmann's constant. As an example, we consider the highest possible temperature ( $T = 4000 \text{ K}$ ), in which case  $F_0 \approx 2.55 \times 10^{-20} \text{ erg s}^{-1} \text{ cm}^{-2} \text{ Hz}^{-1}$  (for  $\lambda_{\text{peak}} = 725 \text{ nm}$ ).

In photometric observations the limiting magnitudes are much higher compared to the limiting magnitudes in spectrometric observations. We make calculations for moderate values of magnitudes. In particular, if we assume that the achievable magnitude

in spectrometric measurements is at least of the order of 5 for the integration time  $\sim 1$  min<sup>1</sup>, then, one can straightforwardly show that for the integration times of the order of 15 min and 40 min, the maximum values of magnitudes will be 8 and 9, respectively. Eq. (3) leads to the following maximum distances  $D_8 \approx 100$  pc,  $D_9 \approx 160$  pc, respectively. By taking into account that the number density of G-type stars (solar type stars) in the solar neighbourhood equals  $\sim 3.2 \times 10^{-3}$  pc<sup>-3</sup> (Bovy 2017) it is straightforward to show that one can monitor  $1.3 \times 10^4$  stars (if  $m = 8$ ), or  $5.5 \times 10^4$  stars (if  $m = 9$ ). The similar analysis performed for other values of temperatures also leads to a large number of solar-type stars. Generally speaking, it is worth noting that the problem of identification of DSs is complex and, in order to distinguish a Dyson megastructure from a star, one should use several filters.

It is worth noting that if a DS is not a complete multi temperature black body, radiation patterns (one from the star and the rest from rings generally having different radii) will be detectable.

Apart from detecting the flux there is another interesting feature. As it was shown by Osmanov (2021), Osmanov and Berezhiani (2019) that the rings will be stable against out-of-plane motions oscillating nearby the equilibrium state and the period of oscillations was found to be:

$$P = 2\pi \sqrt{\frac{R^3}{GM}} \simeq \simeq 2.5 \times \left(\frac{M_\odot}{M}\right)^{1/2} \times \left(\frac{L}{L_\odot}\right)^{3/4} \times \left(\frac{4000 \text{ K}}{T}\right)^3 \text{ hours}, \quad (5)$$

where  $M_\odot \simeq 2 \times 10^{33}$  g represents the solar mass and  $G$  is the gravitational constant. It is evident that the time-scale of oscillations for hot megastructures is several hours, which potentially might be detectable because the periodic motion of the megastructures will cause the spectral variability of the emission pattern. In particular, from the Doppler shift one can straightforwardly derive that the maximum value of the wavelength difference is given by:

$$\Delta\lambda \simeq \lambda \frac{2v_m \cos\theta}{c}, \quad (6)$$

where  $\lambda$  is the wavelength of emission in the rest frame of the megastructure,  $v_m = 2\pi A/P$  is the velocity amplitude of oscillations,  $A$  is the spacial amplitude of oscillations, and  $\theta$  represents the angle of velocity direction measured in observer's frame of reference. There are very high precision modern spectrometers with the resolving power  $RP = \lambda/\Delta\lambda = 50000$ ,<sup>2</sup>. On the other hand, it is worth noting that

for not making overestimation in the precision of measurements, one should estimate the maximum values of  $RP$  limited by telescopes. In particular, if the minimum detectable dimensionless flux difference for a given telescope is  $\Delta F/F = 10^{-\frac{2m}{5}}$ , then, by equating it with the corresponding value for the black body emission of a megastructure,  $\Delta B_\lambda/B_\lambda$ , one obtains the following expression for the resolving power:

$$RP_{\max} \simeq 10^{\frac{2m}{5}} \times \left(5 - \frac{hc}{\lambda kT} \frac{e^{hc/(\lambda kT)}}{e^{hc/(\lambda kT)} - 1}\right), \quad (7)$$

which for the aforementioned magnitudes  $m = \{8, 9\}$  leads to values,  $RP_{\max} = (5600, 14200)$ .

One can show that the spectral variations might be detected if the normalised spacial amplitude,  $X = A/R$ , satisfies the following condition:

$$X \geq \frac{c}{2RP T \cos\theta} \times \left(\frac{L}{8\pi\sigma G^2 M^2}\right)^{1/4} \simeq \simeq 0.07 \times \frac{1}{\cos\theta} \times \frac{6000}{RP} \times \times \frac{4000K}{T} \times \left(\frac{M_\odot}{M}\right)^{1/2} \times \left(\frac{L}{L_\odot}\right)^{1/4}, \quad (8)$$

where the resolving power is normalised by 6000. For  $RP = 5600$ ,  $X \simeq 0.08$  and for  $RP = 14200$  the corresponding value is even less: 0.03. Since  $X$  should be small the search for hot megastructures built around main sequence stars by small size optical telescopes seems to be realistic.

The similar calculations can be performed for megastructures built around pulsars. In particular, as it was derived by Osmanov (2021), ring's radius is given by:

$$r \simeq \left(\frac{L_p}{4\pi\sigma\beta T^4}\right)^{1/2} \simeq \simeq 1.1 \times 10^{-4} \times \left(\frac{L_p}{10^{30} \text{ erg/s}}\right)^{1/2} \times \left(\frac{4000K}{T}\right)^2 \text{ AU}, \quad (9)$$

where  $L_p$  is the normal period (of the order of 1 sec) pulsars' bolometric luminosity, normalised by the typical value and  $\beta \simeq 32^\circ$  (Ruderman and Sutherland 1975) is an opening angle of the pulsar's emission cone (in Eq. (9) it is written in radians). By taking into account the obtained expression, one can straightforwardly show that the maximum distance where a ring-like megastructure around a pulsar is visible is given by:

$$d_m \simeq r \times 10^{\frac{m}{5}} \times \sqrt{\frac{\beta B_\nu(T)}{F_0}}. \quad (10)$$

<sup>1</sup>From private communication with Prof. N. Kochiashvili.

<sup>2</sup><http://www.ltb-berlin.de/>

For the aforementioned values with  $m = \{8, 9\}$  Eq. (10) leads to  $d_8 \simeq 280$  pc and  $d_9 \simeq 440$  pc respectively. In Eq. (10)  $\beta$  is expressed in radians. By combining  $d_{8,9}$  with the pulsars' surface number density of distribution in the galactic plane,  $N_p \simeq 520$  kpc $^{-2}$  (Manchester 2005), one obtains that approximately  $N \simeq \pi d_8^2 N_p \simeq 130$  (for magnitude 8) and  $N \simeq \pi d_9^2 N_p \simeq 320$  (for magnitude 9) pulsars can be monitored. On the other hand, it is clear that pulsars are detected when their beams periodically radiate toward Earth and many of them remain undetected by means of the pulsed emission. But in the framework of our approach, they still can be monitored, since the observations should be conducted for the second stage optical emission of megastructures.

After taking into account the typical value of pulsar's mass  $M_p \simeq 1.5 \times M_\odot$ , from Eq. (5) one finds that a variability timescale is of the order of  $\sim 1$  min (although it might be higher by one order of magnitude, depending on luminosity). Likewise the previous case one can obtain the condition for the dimensionless amplitude of oscillations  $\chi = A/r$ :

$$\begin{aligned} \chi &\geq \frac{c}{2RP T \cos \theta} \times \left( \frac{L_p}{8\pi\sigma G^2 M_p^2} \right)^{1/4} \simeq \\ &\simeq 0.09 \times \frac{1}{\cos \theta} \times \frac{6000}{RP} \times \\ &\times \frac{4000\text{K}}{T} \times \left( \frac{1.5 \times M_\odot}{M_p} \right)^{1/2} \times \left( \frac{L_p}{10^{30} \text{ erg/s}} \right)^{1/4} \quad (11) \end{aligned}$$

when the spectral variability might be detected by a high resolution spectrometer. In the case of  $RP = 17000$ , the minimum value becomes 0.03.

## 2.2. Von Neumann probes

In this subsection we refer to the recent works by Osmanov (2020a,b) to examine the emission signatures of extraterrestrial von Neumann probes in the context of their detectability.

### 2.2.1. Self replication

First of all, we would like to discuss a process of self-reproduction. In due course of time, the probes will collect material for replication. Then, from the mass injection rate  $\dot{M} = \pi\beta r_0^2 m_0 n c$ , one can straightforwardly show that (Osmanov 2020a) the replication time-scale,  $\tau = M/\dot{M}$ , of a spherical probe moving in a hydrogen cloud is of the order of:

$$\begin{aligned} \tau &= \frac{4\xi}{3\beta} \times \frac{\rho}{m_0 n} \times \frac{r_0}{c} \simeq 0.74 \times \frac{\xi}{0.1} \times \frac{0.05}{\beta} \times \\ &\times \frac{m_p}{m_0} \times \frac{\rho}{0.4\text{g cm}^{-3}} \times \frac{10^4 \text{cm}^{-3}}{n} \times \frac{r_0}{0.1\text{mm}} \text{ yrs}, \quad (12) \end{aligned}$$

where  $\xi < 1$  denotes the fraction of probe's total volume filled with the material,  $\rho$  is its density normalized by the density of graphene,  $\beta = v/c$ , denotes probe's dimensionless velocity,  $m_0$  is the mass of encountering molecules,  $n$  is their number density in a cloud and  $r_0$  is probe's radius. In (Osmanov 2020a,b) it was shown that an optimal size of probes to explore a certain area of space strongly depends of its parameters (composition, density and size). For a spherical hydrogen cloud with the number density  $10^4 \text{cm}^{-3}$  and radius 1 pc, the size equals 0.013 mm and for Type-3.x civilization exploring the whole galaxy with the diameter 50000 pc and the number density  $1 \text{cm}^{-3}$ , one obtains 0.25 mm. Collecting material will inevitably lead to a drag force with the corresponding power  $P_{\text{Drag}} \simeq v^2 dM/dt$  (Osmanov 2020a), where  $dM/dt$  denotes the mass rate of the collecting material. On the other hand, if the propulsion mechanism is provided by thermonuclear fusion and for that reason a certain fraction  $\alpha$  of the total mass is used, the power will be given by  $P \simeq \alpha \epsilon c^2 dM/dt$ , where  $\epsilon \simeq 0.0035$  is an approximate value of the fraction of total rest energy that might be utilised in thermonuclear reactions (Carroll and Ostlie 2010). After equating both quantities, one arrives at the conclusion  $\beta \simeq \sqrt{\alpha\epsilon}$ , leading to the maximum possible velocity (for  $\alpha = 1$ )  $\beta_m \simeq \sqrt{\epsilon} \simeq 0.06$ . In this case the total material is used for propulsion with no possibility of replication. One can straightforwardly check that the mass fraction used for replication then writes as  $1 - \alpha \simeq 1 - \beta^2/\beta_{\text{max}}^2 \simeq 0.3$ . Consequently, the time-scale of replication becomes  $\tau/(1 - \alpha) \simeq 2.5$  yr. The thermonuclear process itself can be provided by collision of hydrogen atoms inside a probe.

It is worth noting that exploring different types of clouds with different composition, the replication time-scale will be different. In particular, one can straightforwardly check that for giant molecular clouds with CO in the dense cores, where the number density might range from  $10^4 \text{cm}^{-3}$  to  $10^6 \text{cm}^{-3}$ , the reproduction time-scale will be of the order of 150 yrs (for  $10^4 \text{cm}^{-3}$ ) and 1.5 yrs (for  $10^6 \text{cm}^{-3}$ ). But in the manuscript we focus on calculations for hydrogen, since it is the most abundant element in the universe. One should also emphasise that at the end of exploration, the overall exponential growth must be terminated because at the edge of the nebula, the newly produced probes must spend more time to travel to new materials. Apart from that, it is also possible that one part of the swarm might stop the process of replication before another.

From the classical point of view the thermonuclear reactions start when the distance between nuclei becomes of the order of  $(10^{-15} - 10^{-14})$  cm, but due to the wave-like nature of nuclei, the mentioned extremely small distances are not necessary, it can be guaranteed by quantum tunnelling, leading to a sufficiently high effective temperature for starting thermo-nuclear reactions (Carroll and Ostlie 2010).

### 2.2.2. Spectral characteristics

The emission spectral feature of the probes might be quite complex. We consider only a simplified picture to make estimates. In particular, it is assumed that if the atoms are captured by the probes, from the point of view of a laboratory observer, the particles which initially have been almost at rest, now are accelerated with almost constant acceleration. On the other hand, the process of capturing might be provided by a very strong magnetic field and, although the spectra (of the synchrotron mechanism) will depend on morphology of the magnetic field, the general picture will be the same. It is also clear that the capturing process will lead to thermal emission, but for identification of a swarm of probes some distinguished feature has to be detected, therefore we focus on an emission pattern generated by acceleration process of charges. [Osmanov \(2020a\)](#) showed that the process of collecting the space material (hydrogen molecules) leads to efficient emission of a swarm of von Neumann probes characterised by the following spectral flux:

$$\frac{dW}{dt df} = 2^{t/\tau} n N_0 \frac{4\pi e^2 r_0^2 \beta^3}{3} \times \left(\frac{f_0}{f}\right)^2 \sin^2\left(\frac{f}{f_0}\right), \quad (13)$$

where  $N_0$  is the initial number of probes,  $f$  is the emission frequency normalized by the factor  $f_0$ , given by ([Osmanov 2020b](#)):

$$f_0 \simeq \frac{\beta c}{2\pi r \kappa} \simeq 2.4 \times 10^{11} \times \frac{0.1}{\kappa} \times \frac{\beta}{0.05} \times \frac{0.1 \text{ mm}}{r} \text{ Hz}, \quad (14)$$

where  $\kappa$  is the fraction of probe's size where the hydrogen particles are captured; throughout the paper we assume  $\kappa = 0.1$ . It is evident that the radiation pattern covers the whole range of electromagnetic spectrum but with different efficiency. For optical telescopes, one should focus on the visible light, with frequencies in the following interval  $(4-8) \times 10^{14}$  Hz. One of the interesting features of Eq. (13) is that the spectral flux diminishes at discrete values of frequencies:  $f_k = \pi k f_0$ , which might be considered as one of the significant fingerprints of existence of von Neumann probes. Considering Type-2.x civilisation with  $N_0 = 100$  one can straightforwardly show from Eq. (13) that at the end of exploration of the spherical nebula of radius 1 pc, the spectral flux corresponding to the same wavelength as in the previous cases,  $\lambda = 725$  nm, is of the order of  $3 \times 10^{18}$  erg s<sup>-1</sup> Hz<sup>-1</sup> ( $\beta = 0.05$ ). This value when substituted into Eq. (3) instead of  $B_\nu(T)$  leads to the maximum distances of detection of the order of 40 pc if  $m = 8$  and 60 pc for  $m = 9$ . In a similar way, for Type-3.x civilizations, for the same velocity, one obtains 1.7 Mpc. An important fingerprint of von Neumann self-replicators is the exponential growth of spectral flux (see Eq. 13), characterised by the time-scale,  $\tau^* = \tau / ((1-\alpha) \ln 2)$ , which is of the order of 3.6 yr, indicating that the

process of flaring of a certain region of space might be observed in a realistic time-scale. One should also emphasise that the net effect of the glow will have a diffusive character, potentially affecting the sensitivity.

### 3. CONCLUSION

In the present manuscript we show that small size optical telescopes can detect the flux from hot ring-like megastructures built around main sequence stars and pulsars. It is shown that to search for the candidates, the maximum distance will be of the order of 160 pc for stellar megastructures and 440 pc for pulsar megastructures.

The possible extension of the search methods has been examined by considering the variability of megastructures and it was found that the corresponding timescales are of the order of several hours, which might be detected by using high performance spectrographs with optimal resolving powers  $R_p = 6000$  (for  $m = 8$ ) and  $R_p = 17000$  (for  $m = 9$ ).

We also considered the possibility of detection of extraterrestrial von Neumann probes and it was found that, by using a small size optical telescope, the detection of mentioned objects is quite realistic on distances: 60 pc for Type-2.x civilizations and 1.7 Mpc for Type-3.x civilizations.

The mentioned analysis indicates how prospective SETI is at ground based optical small size telescopes.

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## МОГУЋНОСТИ SETI ПРОГРАМА КОРИСТЕЊИ МАЛЕ ОПТИЧКЕ ТЕЛЕСКОПЕ

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УДК 520.22 + 573.52

*Оригинални научни рад*

У овом раду размотрили смо могућности извођења SETI програма користећи мале оптичке телескопе (дијаметра до 1 м). Израчунавања су изведена за типичне вредности параметара поменутих телескопа. Конкретно, показујемо да технолошки траг цивилизација

Тип 2.x и Тип 3.x може бити детектован. Демонстрирано је да је могуће детектовати вреле мегаструктуре (до 4000 К) изграђене око звезда главног низа и пулсара, као и фон Нојманове ванземаљске сонде.